



TRANSMITTAL LETTER			Case No.
Serial No. 10/146,404	Filing Date May 14, 2002	Examiner TBA	10745/90 PA-056
Inventor(s) Hao-hua Chu et al.			Group Art Unit Not Assigned
Title of Invention METHOD AND APPARATUS FOR SELF-DEGRADING DIGITAL DATA			

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AUG 15 2002

Transmitted herewith is Transmittal Letter (in duplicate); Information Disclosure Statement Form PTO1449; copies of cited references A1 - A3 and return post card. **Technology Center 2100**

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 40=	
+\$135=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$80=	
+\$270=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

John G. Rauch
Registration No. 37,218
Attorney for Applicants

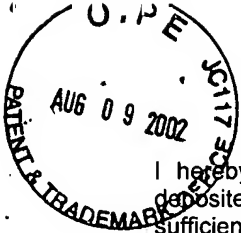
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 8, 2002.

Date:

8/8/02

Signature:



2151

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to: Commissioner for Patents
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August 8, 2002

Date of Deposit

John G. Rauch, Reg. No. 37,218

Name of applicant, assignee or
Registered Representative

Signature

8/8/02

Date of Signature

Our Case No. 10745/90 PA-056

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hao-hua Chu et al.

Serial No. 10/087,337

Filing Date: March 1, 2002

For: METHOD AND APPARATUS FOR
SELF-DEGRADING DIGITAL DATA

Examiner: To Be Assigned

Group Art Unit: Not Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit the references listed below and on the attached form PTO-1449 for consideration by the Examiner. A copy of each of the references is enclosed herewith.



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OTHER ART DOCUMENTS

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"ELECTRONIC MEDIA MANAGEMENT SYSTEM (EMMS)", IBM Internet article located on the IBM Corporation website: < http://www.ibm.com/software/data/emms/ >, printed on 7/10/2002, 2 pages and printout of IBM EMMS Content Preparation Software Development Kit, 32 pages.
"MEDIA COMMERCE SUITE", RealNetworks, Inc. Internet article located on the Real Networks website: < http://www.realnetworks.com/products/commerce/ >, printed on 7/10/2002, 5 pages and printout of RealSystem Media Commerce Suite, Technical White Paper, 16 pages.
"SDMI Portable Device Specification Part 1 Version 1.0", SDMI Secure Digital Music Initiative Document No. pdwg99070802, dated July 8, 1999, 35 pages.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, Applicants reserve the right to contest these references as prior art against the present application, and Applicants do not believe that the disclosure of this reference, even if finally determined to be prior art, anticipates Applicant's invention or that these references make Applicant's invention obvious.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925.

Applicants respectfully request that the Examiner review the entire disclosure of these documents and make them of record.

Respectfully submitted,

John G. Rauch
Registration No. 37,218
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610 - (312) 321-4200



FORM PTO 1449	SERIAL NO. 10/146,404	CASE NO. 10745/90 PA-056
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE May 14, 2002	GROUP ART UNIT TBA
(use several sheets if necessary)		APPLICANT(S): Hao-hua Chu et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A					
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	A					
	A					
	A					
	A					
	A					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A1	"ELECTRONIC MEDIA MANAGEMENT SYSTEM (EMMS)", IBM Internet article located on the IBM Corporation website: < http://www.ibm.com/software/data/emms/ >, printed on 7/10/2002, 2 pages and printout of IBM EMMS Content Preparation Software Development Kit, 32 pages.
	A2	"MEDIA COMMERCE SUITE", RealNetworks, Inc. Internet article located on the Real Networks website: < http://www.realnetworks.com/products/commerce/ >, printed on 7/10/2002, 5 pages and printout of RealSystem Media Commerce Suite, Technical White Paper, 16 pages.
	A3	"SDMI Portable Device Specification Part 1 Version 1.0", SDMI Secure Digital Music Initiative Document No. pdwg99070802, dated July 8, 1999, 35 pages.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.